

Mirror Structure Constants via Non-archimedean Analytic Disks

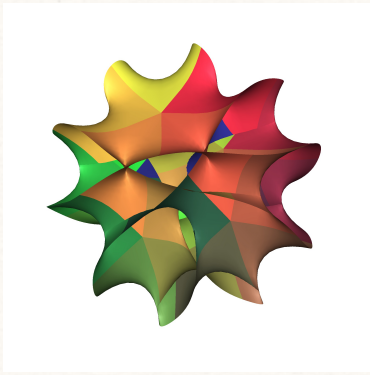
Tony Yue YU

Caltech

work in progress with S. Keel

- Plan:
1. Motivations from SYZ and HMS
 2. Heuristics behind the mirror structure constants
 3. Non-archimedean SYZ fibration
 4. Boundary condition
 5. Properness of the moduli space
 6. Ingredients in the proof of the main theorem
 7. Comparison with punctured log Gromov-Witten invariants by ACGS

1. Motivations from SYZ and HMS



Def: A smooth projective variety X/\mathbb{C} is called **Calabi-Yau** if its canonical bundle K_X is trivial, i.e. it has a nowhere vanishing holomorphic volume form.

Examples: Elliptic curve, abelian variety, K3 surface, hypersurface of degree $d+1$ in $\mathbb{C}P^d$.

Mirror Symmetry: conjectural duality between Calabi-Yau varieties.

Any CY variety $X \longleftrightarrow \exists$ mirror variety \check{X}

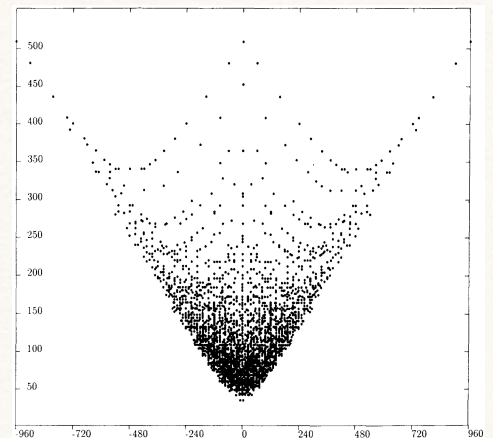
such that a list of deep geometric relations hold between X and \check{X} , involving: Hodge structures, Gromov-Witten invariants, Fukaya categories, derived category of coherent sheaves, SYZ torus fibrations, etc.

Example: Hodge numbers $h^{p,q}(X) = h^{d-p,q}(\check{X})$.

$$\text{In 3-dim case, } h^{1,1}(X) = h^{2,1}(\check{X}) = h^{1,2}(\check{X})$$

$$h^{1,2}(X) = h^{2,2}(\check{X}) = h^{1,1}(\check{X})$$

(Candelas et al.)

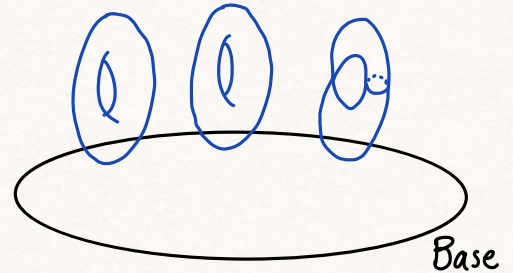


Two main conjectures in mirror symmetry:

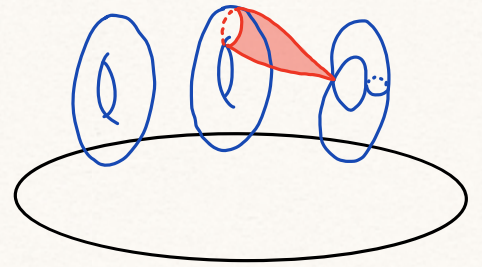
- SYZ conjecture: Strominger - Yau - Zaslow conjecture
- HMS conjecture: Homological mirror symmetry by Kontsevich

Rough idea of SYZ conjecture:

(1) In certain asymptotic sense, the CY manifold X should admit a torus fibration called **SYZ fibration**



(2) The mirror CY manifold \check{X} should be constructed by first taking the dual torus fibration, and then modified using specific counts of holomorphic disks called **instanton corrections**



Rough idea of HMS conjecture:

$X \rightsquigarrow \text{Fuk}(X)$ Fukaya category

- objects: Lagrangian submanifolds $L \subset X$
- morphisms: holomorphic disks with boundaries on Lagrangians

$\check{X} \rightsquigarrow \text{Coh}(X)$ category of coherent sheaves on \check{X}

Then $D^b \text{Fuk}(X) \simeq D^b \text{Coh}(X)$ after passing to the derived categories.

Combining SYZ + HMS

\rightsquigarrow heuristic construction of the mirror variety \check{X}

The best illustration of this idea is for the case of log Calabi-Yau varieties, because in this case, the mirror \check{X} will be an affine variety $\check{X} = \text{Spec } A$. We call A the **mirror algebra**, and it suffices to describe explicitly the underlying vector space of A , and the multiplication rule, i.e. the structure constants.


Remark: The affine mirror variety $\check{X} = \text{Spec } A$ has natural compactifications given by Proj of some graded mirror algebra.

2. Heuristics behind the mirror structure constants

Setup: (Y, D) Y smooth projective variety / k any field of char 0.
 D normal crossing divisor

$$U := Y \setminus D$$

We have log pluri-canonical forms $H^0(Y, \omega_Y(D)^{\otimes m}) \subset H^0(U, \omega_U^{\otimes m})$
independent of the compactification.

Def: U is **log Calabi-Yau** if for all m , this subspace  is one-dimensional, and generated by a volume form Ω on U .

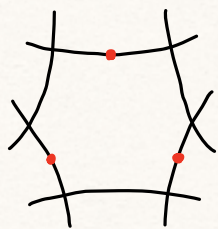
Example: If $D \in |-K_Y|$, then U is log Calabi-Yau.

In this case, (Y, D) is called a **minimal model** of U .

Rem: All log Calabi-Yau varieties arise in this way if we allow dlt singularities.

Def: A log Calabi-Yau U has **maximal boundary** if it has a minimal model (Y, D) with a 0-dimensional log canonical center, i.e. a 0-stratum in the normal crossing case.

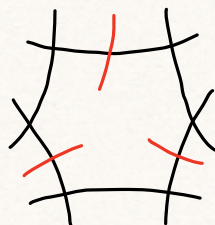
Example: (Y, D) toric variety, $U \simeq (\mathbb{C}^*)^n$



(Y, D)

$U := Y \setminus D$

blowup



(\tilde{Y}, \tilde{D})

$\tilde{U} := \tilde{Y} \setminus \tilde{D}$

\tilde{D} strict transform of D

Goal: Construct the mirror variety $\check{X} = \text{Spec } A$ of any affine log Calabi-Yau. We will construct the mirror algebra A by generators (as module) and structure constants.

Rem: Without affineness, the mirror will only be formal.

Rem: In fact, we will construct a family of mirror varieties

$$\begin{array}{c} \text{Spec } A \\ \downarrow \\ \text{Spec } R \end{array}$$

Generators of A (as R -module) are indexed by the set

$Sk(U, \mathbb{Z}) :=$ integer points in the essential skeleton of U

$$= \{0\} \sqcup \{m\nu \mid m \in \mathbb{N}_{>0}, \nu \text{ is an essential divisorial valuation on } k(U)\}$$

↑
volume form has
1st-order pole

↑
field of rational
functions

Let $R := \mathbb{Z}[NE(Y, \mathbb{Z})] := \bigoplus_{\beta \in NE(Y, \mathbb{Z})} \mathbb{Z} \cdot z^\beta$ the monoid ring of $NE(Y, \mathbb{Z})$ over \mathbb{Z} .

$A := R^{(Sk(U, \mathbb{Z}))} := \bigoplus_{p \in Sk(U, \mathbb{Z})} R \cdot \theta_p$ the free R -module with basis $Sk(U, \mathbb{Z})$.

Multiplication rule:

Given $P_1, \dots, P_n \in Sk(U, \mathbb{Z})$, we write the product in the mirror algebra A as

$$\theta_{P_1} \cdots \theta_{P_n} = \sum_{Q \in Sk(U, \mathbb{Z})} \sum_{\gamma \in NE(Y, \mathbb{Z})} \chi(P_1, \dots, P_n, Q, \gamma) z^\gamma \theta_Q$$

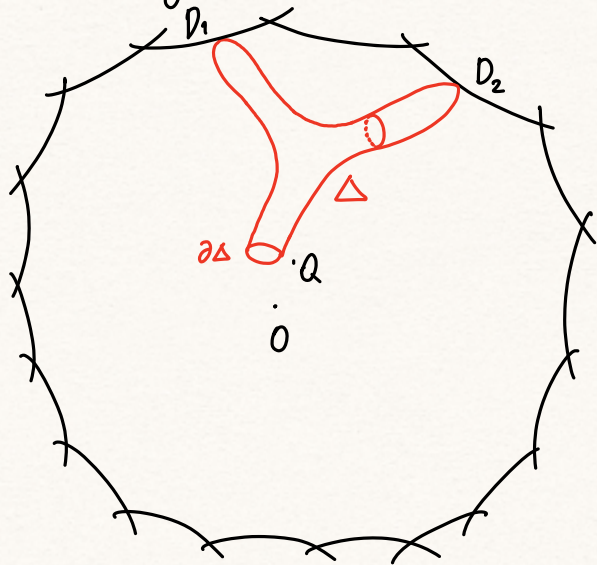
↑ structure constants

SYZ + HMS \rightsquigarrow the structure constants are supposed to be given by the counts of following holomorphic disks in U .

Write $P_j = m_j \nu_j$ for all $P_j \neq 0$.

Assume each ν_j is given by a component $D_j \subset D$ (always possible after a blowup)

Heuristically: $\mathcal{X}(P_1, \dots, P_n, Q, \gamma) = \# \text{ disks } \Delta \text{ in } Y$



- s.t. $\left\{ \begin{array}{l} \text{(i) } \Delta \text{ intersects } D_j \text{ with order } m_j \\ \text{(ii) } \partial \Delta \text{ maps to a point near } Q \in \text{Sk}(U, \mathbb{Z}) \\ \text{via the SYZ fibration} \\ \text{(iii) } \partial \Delta \text{ has homology class } Q \in H_1(\text{fiber}) \\ \text{(iv) class of } \Delta = \gamma \end{array} \right.$

In order to make conditions (ii)(iii) above precise, we need to replace the SYZ fibration by the non-archimedean SYZ fibration.

3. Non-archimedean SYZ fibration

We equip our base field k with the trivial absolute value $|\cdot|: k \rightarrow \{0, 1\}$

$$|x| = \begin{cases} 1 & \text{for all } x \in k \setminus 0 \\ 0 & \text{for } x = 0 \end{cases}$$

Then k becomes a non-archimedean field!

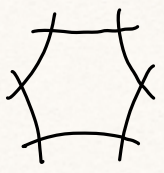
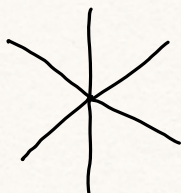
Berkovich analytification $U \rightsquigarrow U^{\text{an}}$ k -analytic space
(analogous to complex analytic geometry)

$$U^{\text{an}} \stackrel{\text{set}}{=} \left\{ (\xi, \nu) \left| \begin{array}{l} \xi \in U \text{ is a scheme-theoretic point} \\ \nu \text{ is an absolute value on the residue field } \kappa(\xi) \\ \text{extending the given one on } k \end{array} \right. \right\}$$

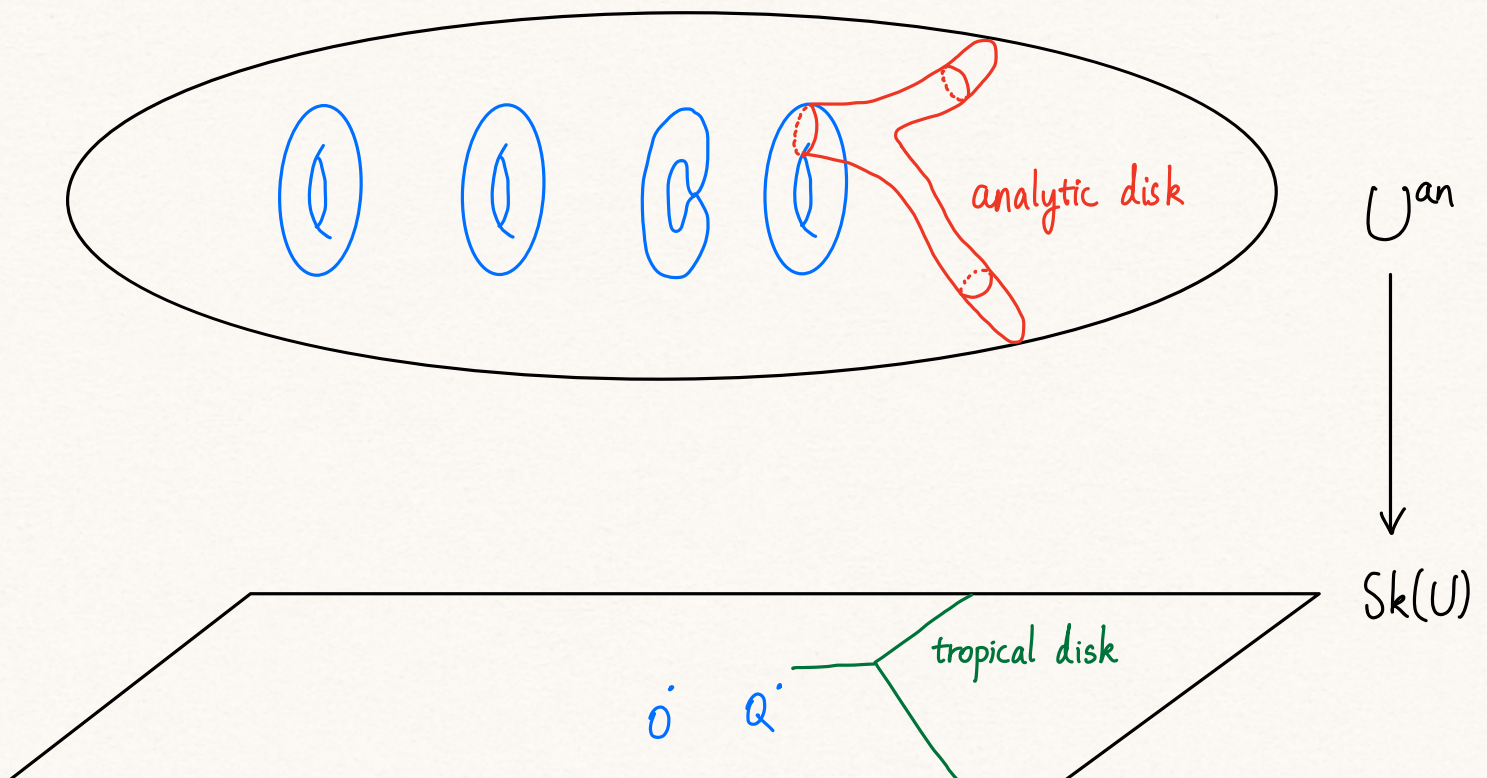
Volume form Ω on $U \rightsquigarrow \|\Omega\|: U^{\text{an}} \rightarrow \mathbb{R}_{\geq 0}$ upper semicontinuous function
 \uparrow Temkin's Kähler seminorm

Def: The **skeleton** of U : $\text{Sk}(U) :=$ the maximal locus of $\|\Omega\| < \infty \subset U^{\text{an}}$

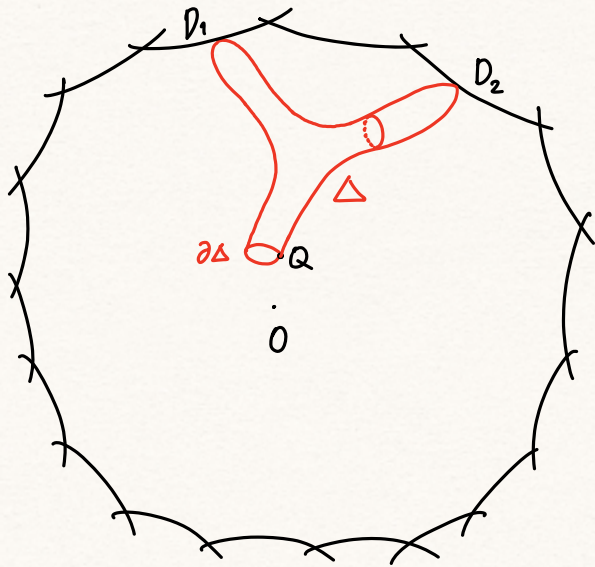
Rem: $\text{Sk}(U, \mathbb{Z}) \subset \text{Sk}(U)$. valuations on the generic point only

Example: (Y, D)  $\text{Sk}(U) \simeq$ dual intersection cone complex of D


Berkovich, Nicaise, Xu, Yu: strong deformation retraction $\tau: U^{\text{an}} \rightarrow \text{Sk}(U)$,
affinoid torus fibration outside codim 2. **Non-archimedean SYZ fibration**
 \uparrow locally given by $(\mathbb{G}_m^n)^{\text{an}} \rightarrow \mathbb{R}^n$, taking coordinatewise valuations.

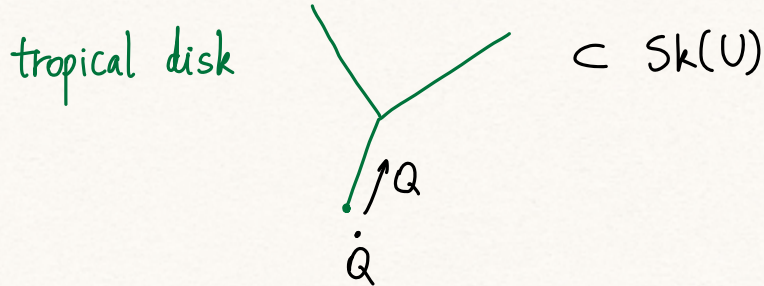


Recall: $\mathcal{X}(P_1, \dots, P_n, Q, \gamma) = \# \text{ disks } \Delta \text{ in } Y$



- s.t. $\left\{ \begin{array}{l} \text{(i) } \Delta \text{ intersects } D_j \text{ with order } m_j \\ \text{(ii) } \partial \Delta \text{ maps to a point near } Q \in \text{Sk}(U, \mathbb{Z}) \\ \text{via the SYZ fibration} \\ \text{(iii) } \partial \Delta \text{ has homology class } Q \in H_1(\text{fiber}) \\ \text{(iv) class of } \Delta = \gamma \end{array} \right.$

Now we reformulate conditions (ii) (iii) via the non-archimedean SYZ fibration:



Having formulated the precise conditions, we are ready to count analytic disks satisfying these conditions.

Trouble: The moduli space of such disks is ∞ -dimensional.

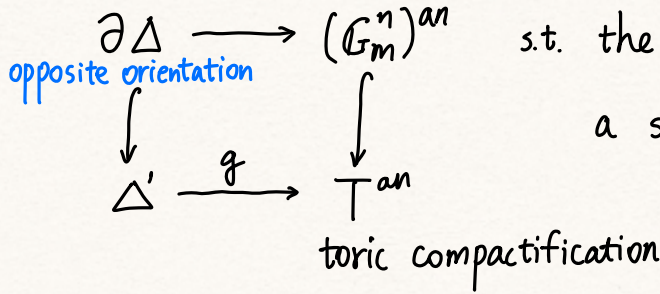
We must impose further conditions to cut the dimension down to 0.

In particular, we must impose a regularity condition on the boundary $\partial \Delta$ to discard most of the non-archimedean analytic disks.

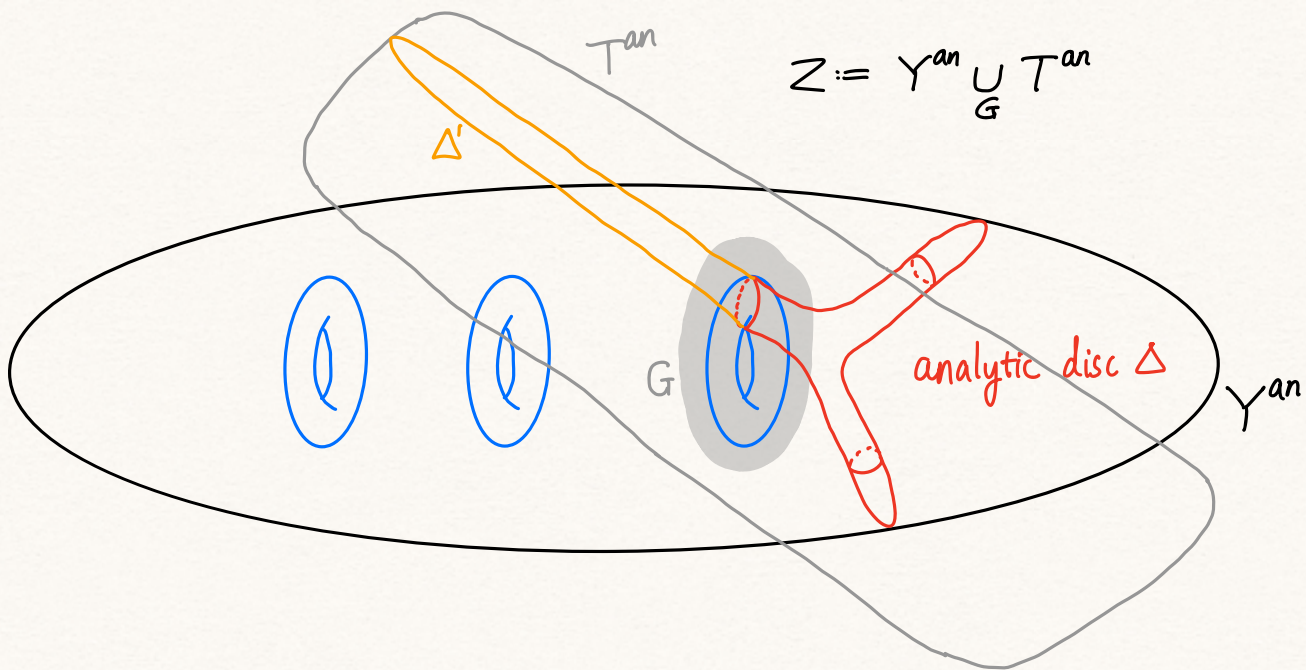
Rough idea: The boundary $\partial\Delta$ lies in the place of U^{an} with affinoid torus fibration, i.e. locally isomorphic to $(\mathbb{G}_m^n)^{\text{an}} \rightarrow \mathbb{R}^n$.

We want the map $\partial\Delta \rightarrow (\mathbb{G}_m^n)^{\text{an}}$ to be as simple as possible.

After analyzing low-dimensional examples, we propose the following boundary condition: $\partial\Delta \rightarrow (\mathbb{G}_m^n)^{\text{an}}$ s.t. the tropical curve associated to g is a straight line.



Geometrically, it means that we are gluing the toric variety T^{an} to Y^{an} along a small domain G of trivial affinoid torus fibration



Now we count closed rational curves $C = \Delta \cup_{A=\Delta \cap \Delta'} \Delta'$ in Z s.t.

- $\Delta \rightarrow Y^{\text{an}}$ satisfies (i)-(iv) as before
- $\Delta' \rightarrow T^{\text{an}}$ has straight tropical curve

5. Properness of the moduli space

Worry: The new target space $Z := Y^{an} \cup_G T^{an}$ is not projective, not even proper, not even separated.

How is it ever possible to count curves in such a space?

Idea: As long as we can keep the circle $A = \Delta \cap \Delta'$ away from the boundary of the domain G , the rest of the curve C will not feel the non-separated locus of Z .
↑ we glued $Z = Y^{an} \cup_G T^{an}$ along G

More precisely, we fix a marked point q on the circle A , as well as marked points p_i where C touches the boundary of Y^{an} and T^{an} .

Let $M(U, \beta)$ denote the moduli space of such curves in $Z = Y^{an} \cup_G T^{an}$

Consider $\Phi: M(U, \beta) \xrightarrow{(\text{dom}, \text{ev}_q)} V_M \times G$
 \cap
 $M_{g,n}^{an}$

Main theorem: Φ is finite étale over an open neighborhood of $\text{Sk}(V_M \times G)$.

Its degree \rightsquigarrow the desired count of non-archimedean analytic disks

\rightsquigarrow structure constant $\chi(p_1, \dots, p_n, Q, \gamma)$

\rightsquigarrow commutative associative mirror algebra A .

Further result: $\text{Spec } A \rightarrow \text{Spec } R$ is a flat family of Gorenstein semi log canonical log Calabi-Yau varieties, with normal and log canonical generic fibers.

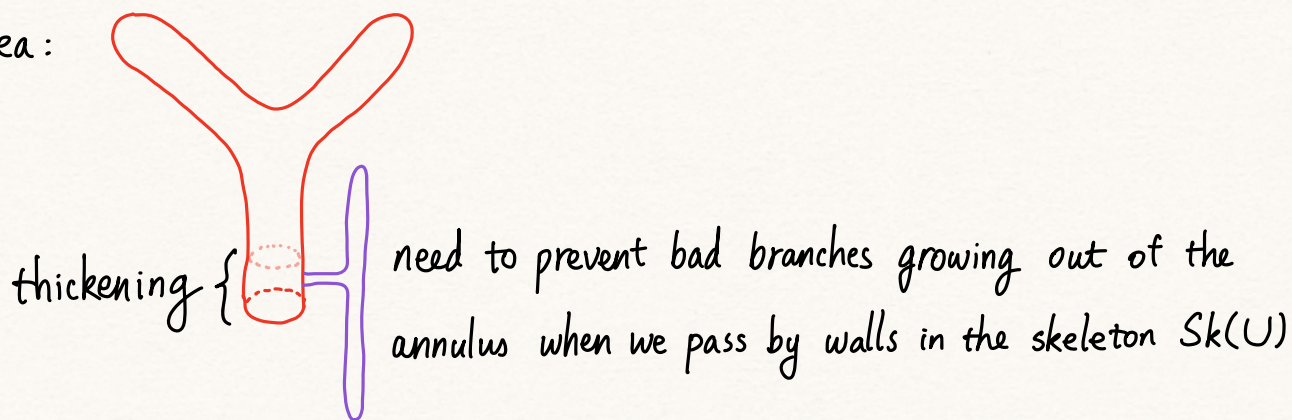
6. Ingredients in the proof of the main theorem

$$\text{Finite étale} = \begin{cases} \text{smooth} : \text{non-archimedean deformation theory based on Porta-Y} \\ \text{relative dimension } 0 : \text{gluing of volume form} \\ \text{proper} = \begin{cases} \text{topologically proper} : \text{use formal model} \\ \text{boundaryless} : \text{use formal model} \end{cases} \end{cases}$$

In fact, for boundaryless, we need to introduce an auxiliary moduli space $M'(U, \beta)$ asking not only the circle $A = \Delta \cap \Delta'$ to map to G , but also an open thickening of the circle to map to G .

Finally we use the theory of skeletal curves to identify $M(U, \beta)$ with $M'(U, \beta)$ over $Sk(V_m \times G)$.

Geometric idea:



7. Comparison with punctured log Gromov-Witten invariants by ACGS