

# HMS and Monodromy

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Motivated by HMS

Morrison formulated a conjecture  
about monodromy about max unipotent  
boundary points of moduli of compact  
CY manifolds

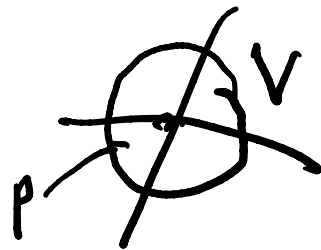
# MAXIMAL UNIPOTENT Bdry pts.

GENERAL PICTURE:  $Y \rightarrow M$  smooth, proper family of alg. mfts of dim.  $n$ . Fiber  $Y^n$ .

$\bar{M}$  "nice" completion of  $M$ .

$p \in \bar{M} - M$  pt of max depth, int. of  $h^{n-1}(Y) = k$  divisors.

$V$  nbhd of  $p$ ,  $U = V \cap M$



$\pi_1(U)$  free abelian, gen.  $\gamma_1, \dots, \gamma_k$ .

Monodromy  $\gamma_i \mapsto T_i \in \text{Auto}(H^n(Y; \mathbb{Q}))$

Commuting quasi-unipotent transformations

$$N_i = \log T_i \quad N = \sum_i a_i N_i, \quad a_i > 0 \quad \forall i$$

$N$  nilpotent  $\rightsquigarrow$  monodromy w/ filtration

Together w/ limiting Hodge filtration  
gives limiting MHS.

Morrison Conj. for  $Y$  Calabi-Yau  $n$ -fold.

$\exists p \in \bar{M} - M, (1)$  max unipotent ( $N^n \neq 0$ )

(2) limiting MHS is HODGE-TATE

$$[\Leftrightarrow h^{r,r}(\text{limit}) = h^{n-r,r}(Y)]$$

$$(3) \pi_1(U) \otimes W_{2n}/W_{2n-1} \xrightarrow{\cong} \frac{W_{2n-2}}{W_{2n-3}}$$

Since MHS is HODGE-TATE

$$\frac{W_{2n}}{W_{2n-1}} \text{ has rk } 1 \text{ and } \frac{W_{2n-2}}{W_{2n-3}} \text{ has rk} = h^{n-1,1}(Y)$$

HMS predicts deformations of complex str.  
(B-side) are minor to ( $\cong$  to) deform.  
of symplectic structure (A-side).

- (B-side)
- 1) Gauss-Manin connection
  - 2) Flat lattice  $H^*(Y; \mathbb{Z})$
  - 3) non-deg. flat pairing [P.D.]
  - 4) Hodge filtration  $F^*$  satisfying Griffiths transversality  
 $\nabla(F^p) \subset F^{p-1}$
  - 5) limiting MHS at bdry points

A-side:  $X^n$  compact symplectic.

Holo V.B.  $H^{2*}(X; \mathbb{C}) \times H^2(X; \mathbb{R}) \rightarrow H^2(X; \mathbb{C})$

## FLAT CONNECTION:

Dubrovin connection which comes from  $\mathbb{Q}$ . cohomology.

On  $H^2(X; \mathbb{C})$  there is formal Q. coh.

$$\langle a \circ b, c \rangle = \sum_{\beta} \langle a, b, c \rangle_{\beta} g^{\beta}$$

Here  $b_1, \dots, b_r$  basis for  $H_2(X; \mathbb{Z})$

$g_i: H^2(X; \mathbb{C}) \rightarrow \mathbb{C}$  is  $\langle \cdot, b_i \rangle$

for  $\beta = \sum \beta^i b_i$  we set  $g^{\beta} = \prod_i g_i^{\beta^i}$



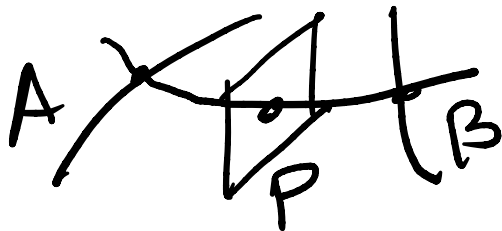
Ex.  $X = \mathbb{P}^n$   $\mathcal{O}$ .coh  $QH(X) = \mathbb{Q}[x, y] / x^{n+1} = y$

Properties: (i)  $aob = boa$ , (ii)  $\langle aob, c \rangle = \langle a, boc \rangle$

(iii)  $(aob)oc = aoboc$

(iv) divisor eqn:  $\langle a, p, b \rangle_{\mathbb{P}} = \langle a, b \rangle_{\mathbb{P}} P(\mathbb{P})$

$\forall p \in H^2(X; \mathbb{Z})$



For  $\tau \in H^2(X, \mathbb{C})$  set  $\langle a, \tau, b, c \rangle = \sum_{\beta} \langle a, b, c \rangle_{\beta} e^{\langle \tau, \beta \rangle}$   
 will converge on  $U$  where  $\langle \tau, \beta \rangle \ll 0 \forall \beta$  effective  
 $\log(g_i) = \tau_i$

## DUBROVIN CONNECTION

$$\nabla(\tau) = d - \frac{1}{z} \sum_i \frac{dg_i}{g_i} \cdot p^i \circ \tau$$

flat for any  $z \in \mathbb{C}^*$ .

Flat extension to

$$H^{2*}(X; \mathbb{C}) \times H(X; \mathbb{C}) \times \mathbb{C}^* = F$$

↓

$$H^2(X; \mathbb{C}) \times \mathbb{C}^*$$

There is a flat non-degenerate pairing on  $F$ .

$$\langle a, b \rangle_F(\tau, u) = \langle a(\tau, z), b(\tau - z) \rangle_{P.D.}$$

# Flat LATTICE (IRITANI & Katzarkov-Kontsevich-Panov)

$$\Gamma(z) = \int_0^{\infty} e^{-t} t^{z-1} dt; \quad \Gamma(n) = (n-1)!$$

$$\hat{\Gamma}(V) = \prod_i \Gamma(1 + \lambda_i(V)) \quad \lambda_i \text{ Chern roots}$$

$$K(X) \rightarrow H^{2n}(X; \mathbb{C})$$

$$V \mapsto (2\pi)^{-n/2} \hat{\Gamma}(TX) \cup (2\pi i)^{\deg/2} \text{ch}(V)$$

Embeds  $K(X)_{\text{top}}$  as a lattice in  $H^{2n}(X; \mathbb{C})$

Any flat section of  $F$  is asymptotic to  $e^{-\tau/2} \alpha$  for some  $\alpha \in H^{2g}(X; \mathbb{C})$ .

Identifies flat sections w/  $H^{2g}(X; \mathbb{C})$   
& hence embeds  $K(X)_{\text{Tor}}$  as a lattice of flat sections.

Prop (Iritani): Under this embedding the pairing ON FLAT sections pulls back to P.D. on  $K(X)$

At this point on the A-side we have a hol. V.B. over  $H^2(X; \mathbb{C}) \times \mathbb{C}^*$  a flat connection with non-deg. pairing and a flat lattice identified with  $K(X)$  on which the pairing is non-degenerate.

No Hodge structure. In some sense the extra factor of  $\mathbb{C}^*$  replaces the HS. as explained by both Iritani and Katzarkov-Kontsevich - Pantev.

Monodromy is an action of  $H^2(X; \mathbb{Z})$

The induced action on  $K(X)$  sends  $\zeta \in H^2(X; \mathbb{Z})$  to  $\otimes L_{\zeta}^*$ .

Dividing out gives a hd V. B. with flat connection  
flat lattice and non-degenerate pairing  
over  $(H^2(X; \mathbb{C}) / 2\pi i H^2(X; \mathbb{Z})) \times \mathbb{C}^*$

Monodromy assoc. with  $2\pi i \zeta$  is  $\otimes L_{\zeta}^{-1}$

MORRISON - TYPE Conjecture holds  
 for A-SIDE & K-theory: The monodromy  
 consists of  $\text{rk } H^2(X)$  commuting unipotent  
 transformations. The assoc. wt filtration is:

$$W_{2k} \cap K(X) = \{ \alpha \in K(X) \mid \text{ch}_i(\alpha) = 0 \text{ for } i \leq n-k \}$$

$$W_{2n}/W_{2n-1}(K(X)) \xrightarrow[\cong]{c_0} \mathbb{Z}$$

$$W_{2n-2}/W_{2n-3}(K(X)) \xrightarrow[\cong]{c_1} 2\pi i H^2(X; \mathbb{Z})$$

and monodromy is  $H^2(X; \mathbb{Z}) \otimes \frac{W_{2n}}{W_{2n-1}} \rightarrow \frac{W_{2n-2}}{W_{2n-3}}$



# HMS

We have similar types of structure on A-side & B-side. It is time to introduce the mirror isomorphisms. In general, it is conjectural. There is one class of examples where there are theorems: Toric varieties assoc with reflexive polytopes & hypersurfaces in them. This relies on Givental's work

TORIC FANOS:  $N$  lattice;  $\Sigma \subset N \otimes \mathbb{R}$  reflex. poly

$X_\Sigma$  assoc. toric variety (automatically FANO)

What is its mirror? Landau-Ginzburg model

EXAMPLES:

$\mathbb{P}^n$ ;  $\Sigma = \text{convex hull } \{e_1, \dots, n_1 - e_1, \dots, -e_n\}$

$$W_g: (\mathbb{C}^*)^n \longrightarrow \mathbb{C}$$

$$(T_1, \dots, T_n) \longmapsto T_1 + \dots + T_n + \frac{g}{\prod_i T_i}$$

The L-G model consists of  $(\mathbb{C}^*)^{n+k} \xrightarrow{f} H^2(X_\Sigma; \mathbb{C}^*)$   
 with fibers  $Y_g$  are isomorphic to  $M \otimes \mathbb{C}^*$   
 [M dual lattice to N]

and  $W: (\mathbb{C}^*)^{n+k} \longrightarrow \mathbb{C}$

$$W = z_1 + \dots + z_{n+k}.$$

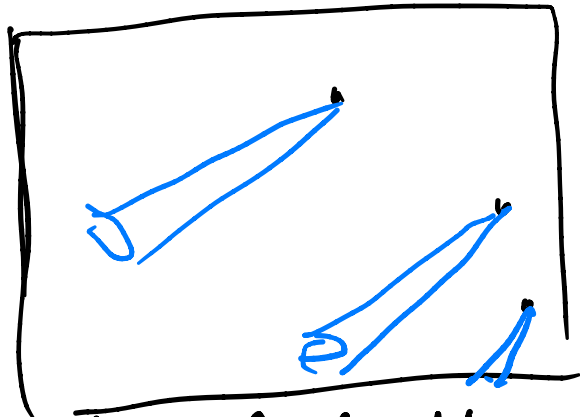
For each  $g \in H^2(X_\Sigma; \mathbb{C}^*)$  we denote by

$W_g$  the restriction  $W|_{Y_g}$

$$W_g: Y_g \longrightarrow \mathbb{C}.$$

$$f_{g,z} = \operatorname{Re}(W_g/z); Y_g \rightarrow \mathbb{R}$$

$$H_n(Y_g, f_{g,z}^{-1} \ll 0; \mathbb{Z}) \cong R_n^{\vee}(g,z)$$



vanishing cycles.

$R_n(g,z)$  dual lattice to  $R_n^{\vee}(g,z)$

Intersection pairing is  $R_n^{\vee}(g,z) \otimes R_n^{\vee}(g,z) \rightarrow \mathbb{Z}$

Thm (Iritani) Mirror  $\cong$  between  
Flat Dubrovin connection for  $X_\Sigma$

and the flat V. bundle  $R_n \otimes \mathcal{O}_{Y \times \mathbb{C}^*}$   
on L-G mirror. This isomorphism sends  
the lattice  $K(X_\Sigma)$  to  $R_n = H^n(Y, \bigoplus_{j \in \mathbb{Z}} \mathcal{O}(j))$

Compatible with monodromy action,  
the lattices and the pairings.

# CY hypersurfaces:

$Y \subset X_\Sigma$  anti-canonical hypersurfaces.

$w_g: Y'_g \rightarrow \mathbb{C}$  the L-G mirror.

Mirror of  $Y$  is compactification  $\check{Z}$  of  
 $Z = w_g^{-1}(1) \subset Y'_g$ , a compactification coming  
from dual polytope  $\Sigma' \subset M \otimes \mathbb{R}$  to  $\Sigma$  in  $N \otimes \mathbb{R}$ .

We DEFINE

(a)  $K_{\text{amb}}(Y) \subset K(Y)$  to be image under pullback by inclusion.  $K(X) \xrightarrow{i^*} K(Y)$

(b)  $H_{\text{res}}^{n-1}(\check{Z}) \subset H^{n-1}(\check{Z}; \mathbb{Z})$  is P.D.

to the subgroup of  $H_{n-1}(\check{Z}; \mathbb{Z})$   
generated by the V.C. for  $Z \subset Y' = N \otimes \mathbb{C}^*$

Thm (Iritani) Let  $U \subset H^2(X_\Sigma; \mathbb{C})$   
 be a domain near  $\tau \rightarrow -\infty$  on which  
 the  $Q$  product converges. Suppose  
 $z^*: H^2(X_\Sigma; \mathbb{Q}) \rightarrow H^2(Y; \mathbb{Q})$  is an  $\cong$ .

Then there is a mirror isomorphism  
 from  $U / 2\pi i H^2(Y; \mathbb{Z}) \xrightarrow{\sim} M_{\text{poly}}(\check{Z})$ .

This mirror isomorphism sends the bundle  
 $H^{2*}(Y; \mathbb{Q}) \times (U / 2\pi i H^2(Y; \mathbb{Z})) \rightarrow U / 2\pi i H^2(Y; \mathbb{Z})$



to the bundle of  
 of  $\check{v}$  relative  $(n-1)$  coh. of the fibers  
 of  $\check{Z} \rightarrow M_{\check{Z}}$  sending the Dubrovin  
 connection to the Gauss-Manin conn.  
 and the flat lattice  $\text{Kamb}(Y)$  to relative  
 $H_{\text{res}}^{n-1}(\check{Z})$  and preserves the pairings  
 and monodromy

$$K(X_\Sigma) \longrightarrow K_{\text{amb}}(Y) \subset K(Y)$$

$$\downarrow \text{Mirror} \cong$$

$$R_n(g, z) \longrightarrow$$

$$\cong \downarrow$$

$$H_{\text{res}}^{n-1}(\check{Y}) \subset H^{n-1}(\check{Y}; \mathbb{Z})$$

Isomorphisms preserving pairings, flat conn. & monodromy.

Under our assumption there are  
no non-polynomial deformations of  $Z$

$$K_{\text{amb}}(Y) \subset K(Y) \quad \& \quad H_{\text{res}}^{n-1}(\check{Z}) \subset H^{n-1}(\check{Z}; \mathbb{Z})$$

are of finite index (the same index)

Cor: In this case the monodromy  
of  $H^{n-1}(\check{Z}; \mathbb{Z})$  about the limit bdry point  
corresponding to the large radius  
limit of  $Y$  satisfies  $\mathbb{Q}$ -version of  
MORRISON'S CONJECTURE.

Furthermore, under these hypotheses  
for CY 3-folds MORRISON'S Conj.  
over  $\mathbb{Z}$  holds  $\Leftrightarrow$  the isomorphism  
extends to  $K(Y) \xrightarrow{\cong} H^{n-1}(Y; \mathbb{Z})$ .

Addendum: There is a Hodge filtration on  $H^{2n}(Y)$  defined by  $F^p = \bigoplus_{2i \leq 2(n-1-p)} H^{2i}(Y; \mathbb{C})$

The monodromy wt filtration is

$$W_{2k} = \bigoplus_{2i \geq 2(n-1-k)} H^{2i}(Y; \mathbb{C})$$

These filtrations agree with those of the limiting MHS for the family  $\mathcal{Y}_3$  at the corresponding bdy pt.