

An invitation to homological mirror symmetry

Denis Auroux

Harvard University

IMSA Inaugural Conference, Miami, September 6, 2019

partially supported by NSF and by the Simons Foundation
(Simons Collaboration on Homological Mirror Symmetry)

Jacobi theta functions and counting triangles

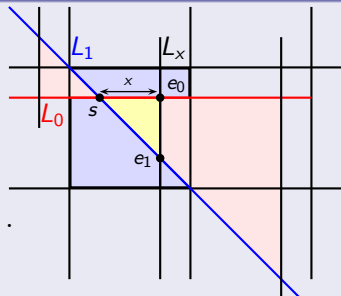
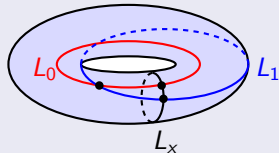
Jacobi theta function on the elliptic curve $E = \mathbb{C} / \mathbb{Z} + \tau\mathbb{Z}$

All doubly periodic holomorphic functions are constant, but we can ask for *quasi-periodic* functions: $s(z + 1) = s(z)$, $s(z + \tau) = e^{-\pi i \tau - 2\pi i z} s(z)$

Only one up to scaling! $s(z) = \vartheta(\tau; z) = \sum_{n \in \mathbb{Z}} \exp(\pi i n^2 \tau + 2\pi i n z)$.

(Jacobi, 1820s)

Counting triangles in $T^2 = \mathbb{R}^2 / \mathbb{Z}^2$ (weighted by area)



$$\begin{aligned}
 [?] &= \dots + T^{(x-1)^2/2} + T^{x^2/2} + T^{(x+1)^2/2} + \dots \\
 &= T^{x^2/2} \sum_{n \in \mathbb{Z}} T^{\frac{1}{2}n^2 + nx} = e^{\pi i \tau x^2} \vartheta(\tau; \tau x) \\
 &\quad (T = e^{2\pi i \tau})
 \end{aligned}$$

Homological mirror symmetry (Kontsevich 1994)

Algebraic (or analytic) geometry

Coherent sheaves (eg: \mathcal{O}_V , vector bundles $\mathcal{E} \rightarrow V$, skyscrapers $\mathcal{O}_{p \in V}$, ...)

Morphisms (+ extensions): $H^* \text{hom}(\mathcal{E}, \mathcal{F}) = \text{Ext}^*(\mathcal{E}, \mathcal{F})$.

Derived category = complexes $0 \rightarrow \dots \rightarrow \mathcal{E}^i \xrightarrow{d^i} \mathcal{E}^{i+1} \rightarrow \dots \rightarrow 0 / \sim$

Eg: functions, intersections, cohomology...

\Updownarrow **Mirror symmetry:** $D^b \text{Coh}(V) \simeq D^\pi \mathcal{F}(X, \omega)$

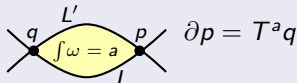
Symplectic geometry: Fukaya category $\mathcal{F}(X, \omega)$

(X, ω) loc. $\simeq (\mathbb{R}^{2n}, \sum dx_i \wedge dy_i)$, **Lagrangian submanifolds** L ($\dim. n, \omega|_L = 0$).

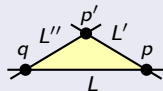
Intersections (mod. Hamiltonian isotopy) = **Floer cohomology**

$$CF^*(L, L') = \mathbb{K}^{|L \cap L'|}$$

(\otimes local coefficients)



$$\text{Product } CF(L', L'') \otimes CF(L, L') \rightarrow CF(L, L''): p' \cdot p = T^a q$$

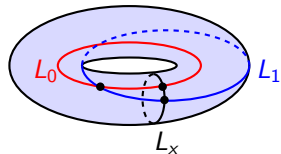


Example: elliptic curve (Polishchuk-Zaslow)

$$E = \mathbb{C} / \mathbb{Z} + \tau\mathbb{Z}, \quad \mathcal{L} = \mathbb{C}^2 / (z, v) \sim (z + 1, v) \sim (z + \tau, e^{-\pi i \tau - 2\pi i z} v)$$

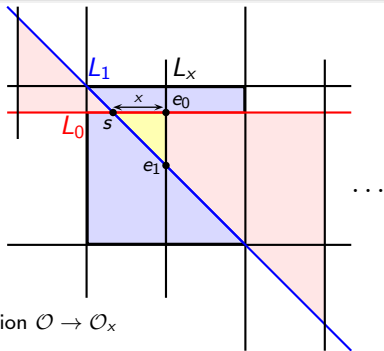
$$\dim H^0(E, \mathcal{L}) = 1, \quad s(z) = \vartheta(\tau; z) = \sum_{n \in \mathbb{Z}} \exp(\pi i n^2 \tau + 2\pi i n z).$$

$$X = T^2 = \mathbb{R}^2 / \mathbb{Z}^2$$



$$L_0 \xrightarrow{s} L_1 \xrightarrow{e_1} L_x$$

$$e_1 \cdot s = \boxed{?} e_0 \quad e_0 \sim \text{evaluation } \mathcal{O} \rightarrow \mathcal{O}_x$$



$$\boxed{?} = \dots + T^{(x-1)^2/2} + T^{x^2/2} + T^{(x+1)^2/2} + \dots$$

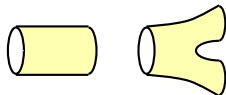
$$= T^{x^2/2} \sum_{n \in \mathbb{Z}} T^{\frac{1}{2}n^2 + nx} = e^{\pi i \tau x^2} \vartheta(\tau; \tau x) \quad (T = e^{2\pi i \tau})$$

Homological mirror symmetry: towards a general setting

- 1 Projective Calabi-Yau varieties ($c_1 = 0$):
 T^2 (Polishchuk-Zaslow), T^{2n} (Kontsevich-Soibelman, Fukaya, Abouzaid-Smith),
K3 surfaces (Seidel, Sheridan-Smith), $X_{d=n+2} \subset \mathbb{C}P^{n+1}$ (Sheridan), ...
- 2 Fano case: $\mathbb{C}P^n$, del Pezzo, toric varieties ... (LG models)
(Kontsevich, Seidel, Auroux-Katzarkov-Orlov, Abouzaid, FOOO ...)
- 3 General type case, affine varieties, etc.
Riemann surfaces, compact (Seidel, Efimov) or non-compact
(Abouzaid-Auroux-Efimov-Katzarkov-Orlov, Lee, ...)
hypersurfaces $\subset (\mathbb{C}^*)^n$ or toric varieties (Gammage-Shende, Abouzaid-Auroux, ...)
... and beyond

Goal of talk: give a flavor of this program

\rightsquigarrow HMS for all Riemann surfaces starting with



(focusing on HMS itself, ignoring developments from
Strominger-Yau-Zaslow, skeleta, family Floer theory, etc.)

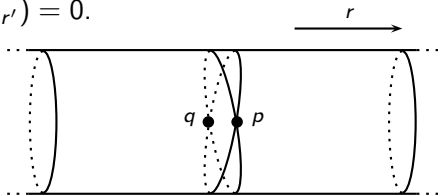
Example 1: $\mathcal{F}_c(\text{cylinder}) \simeq D_c^b(\text{cylinder})$

(classical)

$X = \mathbb{R} \times S^1$, $\omega = dr \wedge d\theta$, $L_r = \{r\} \times S^1$ (+ local system ξ)

$\Rightarrow HF^*(L_r, L_r) \simeq H^*(S^1, \mathbb{K})$,

$HF^*(L_r, L_{r'}) = 0$.



$$\partial p = q - q = 0$$

- $\mathcal{M}_{pt} = \{(L_r, \xi) \in \mathcal{F}(X)\} / \sim$ has a natural analytic structure
Coordinate: $z(L_r, \xi) = T^r \text{hol}(\xi) \in \mathbb{K}^*$.
($\forall L'$, $CF((L_r, \xi), L')$ has analytic dependence on z)
- $(L_r, \xi) \in \mathcal{F}(X, \omega) \longleftrightarrow \mathcal{O}_z \in D^b(X^\vee = \mathbb{K}^*)$

Strominger-Yau-Zaslow: X CY, $\pi : X \rightarrow B$ Lagrangian torus fibration
 \Rightarrow mirror $X^\vee = \{\mathcal{O}_p, p \in X^\vee\} = \{(L_b = \pi^{-1}(b), \xi) \in \mathcal{F}(X)\} / \sim$

Example 1: $\mathcal{F}_{wr}(\text{cylinder}) \simeq D^b(\text{cylinder})$

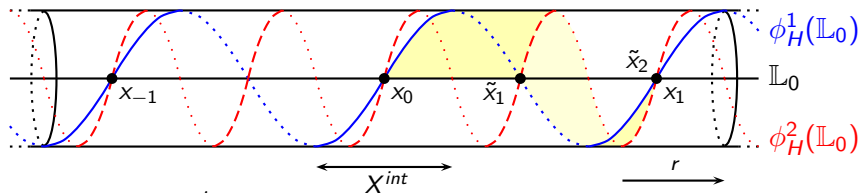
Abouzaid-Seidel
"wrapped Fukaya category"

$X = \mathbb{R} \times S^1 \supset \mathbb{L}_0 = \mathbb{R} \times \{0\}$ non-compact Lagrangian.

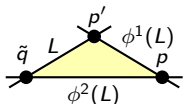
Hamiltonian perturbation: $H = \frac{1}{2}r^2$, $\phi_H^1(r, \theta) = (r, \theta + r)$.

(\rightarrow intersections $\in X^{int}$ + Reeb flow at boundary).

$$CW^*(\mathbb{L}_0, \mathbb{L}_0) := CF^*(\phi_H^1(\mathbb{L}_0), \mathbb{L}_0) = \bigoplus_{i \in \mathbb{Z}} \mathbb{K} x_i.$$



Product:



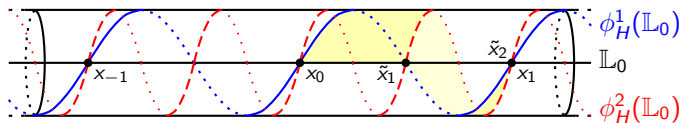
($\tilde{q} \in \phi^2(L) \cap L \leftrightarrow q \in \phi^1(L) \cap L$ via $r \mapsto 2r$)

$$x_k \cdot x_l = x_{k+l} \Rightarrow \text{End}(\mathbb{L}_0) \simeq \mathbb{K}[x^{\pm 1}]. \quad (x_k \rightsquigarrow x^k)$$

Example 1: $\mathcal{F}_{wr}(\text{cylinder}) \simeq D^b(\mathbb{K}^*)$

Abouzaid-Seidel
"wrapped Fukaya category"

$$X = \mathbb{R} \times S^1 \supset \mathbb{L}_0 = \mathbb{R} \times \{0\} \Rightarrow \text{End}(\mathbb{L}_0) \simeq \mathbb{K}[x^{\pm 1}] \simeq \text{End}(\mathcal{O}_{X^\vee}).$$



\mathbb{L}_0 generates $\mathcal{F}_{wr}(X)$.

Yoneda: $L \mapsto \text{Hom}(\mathbb{L}_0, L)$ gives an embedding $\mathcal{F}_{wr}(X) \hookrightarrow \text{End}(\mathbb{L}_0)\text{-mod}$.

Example: $(L_r, \xi) \mapsto HF(\mathbb{L}_0, (L_r, \xi)) \simeq \mathbb{K}[x^{\pm 1}]/(x - z) \quad (z = T^r \text{hol}(\xi))$

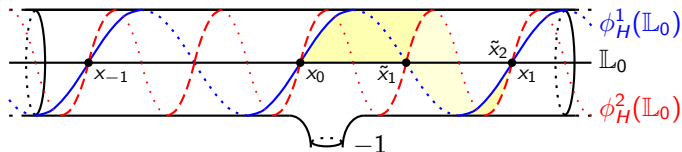
Theorem

$$\mathcal{F}_{wr}(X) \simeq \mathbb{K}[x^{\pm 1}]\text{-mod} \simeq D^b \text{Coh}(X^\vee).$$

Example 2: \mathcal{F}_{wr} ()

(Abouzaid-A.-Efimov-Katzarkov-Orlov)

$$X = S^2 \setminus \{-1, 0, \infty\} = \mathbb{C}^* \setminus \{-1\}, \mathbb{L}_0 = \mathbb{R}_+ \Rightarrow CW(\mathbb{L}_0, \mathbb{L}_0) = \bigoplus_{i \in \mathbb{Z}} \mathbb{K} x_i.$$



$$x_j \cdot x_i = \begin{cases} x_{i+j} & \text{if } ij \geq 0 \\ 0 & \text{if } ij < 0 \end{cases}$$

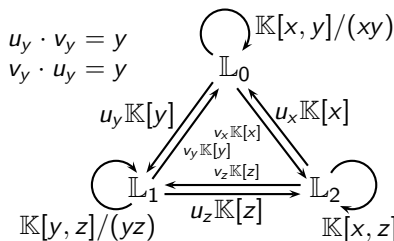
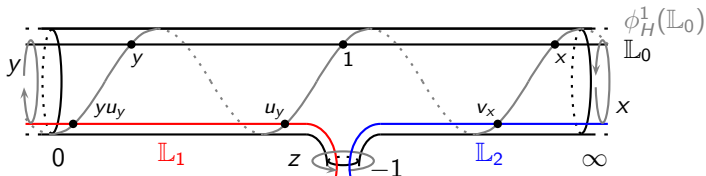
$$\Rightarrow \boxed{\text{End}(\mathbb{L}_0) \simeq \mathbb{K}[x, y]/(xy = 0).}$$

$$\dots X^\vee = \text{Spec } \mathbb{K}[x, y]/(xy = 0) = \{xy = 0\} \subset \mathbb{A}^2 ?$$

$$\mathcal{F}_{wr}(X) \hookrightarrow \text{End}(\mathbb{L}_0)\text{-mod} ??$$

Example 2: $\mathcal{F}_{wr}(\text{cup}) \simeq D^b(\{xy = 0\})$ (A-A-E-K-O)

$X = \mathbb{C}^* \setminus \{-1\}$: $\mathbb{L}_0 = (0, \infty)$, $\mathbb{L}_1 = (-1, 0)$, $\mathbb{L}_2 = (-\infty, -1)$ generate



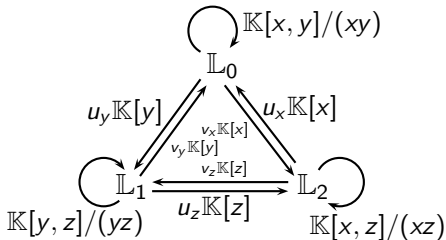
+ exact triangles

$$\mathbb{L}_2 \xrightarrow{u_x} \mathbb{L}_0 \xrightarrow{u_y} \mathbb{L}_1 \xrightarrow{u_z} \mathbb{L}_2[1]$$

$$\mathbb{L}_1 \xrightarrow{v_y} \mathbb{L}_0 \xrightarrow{v_x} \mathbb{L}_2 \xrightarrow{v_z} \mathbb{L}_1[1]$$

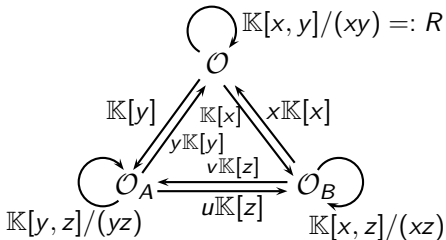
Example 2: $\mathcal{F}_{wr}(\text{cup}) \simeq D^b(\{xy = 0\})$ (A-A-E-K-O)

$$X = \mathbb{C}^* \setminus \{-1\} \supset L_0, L_1, L_2$$



$$\begin{aligned} L_2 &\xrightarrow{u_x} L_0 \xrightarrow{u_y} L_1 \xrightarrow{u_z} L_2[1] \\ L_1 &\xrightarrow{v_y} L_0 \xrightarrow{v_x} L_2 \xrightarrow{v_z} L_1[1] \end{aligned}$$

$$X^\vee = \{xy = 0\} = A \cup B \subset \mathbb{A}^2$$



$$\text{Hom}(O_A, O_A) \simeq \mathbb{K}[y], \text{Ext}^{2k}(O_A, O_A) \ni z^k.$$


$$\begin{aligned} O_B &\xrightarrow{x} O \xrightarrow{1} O_A \xrightarrow{u} O_B[1] \\ O_A &\xrightarrow{y} O \xrightarrow{1} O_B \xrightarrow{v} O_A[1] \end{aligned}$$

\Rightarrow Theorem (A-A-E-K-O)

$$\mathcal{F}_{wr}(X) \simeq D^b \text{Coh}(X^\vee)$$

Example 2: $\mathcal{F}_{wr}(\text{torus}) \simeq D_{sing}^b(\mathbb{C}^3, -xyz)$ (A-A-E-K-O)

$X = \mathbb{P}^1 \setminus \{-1, 0, \infty\} \longleftrightarrow X^\vee = \{xy = 0\}$:

- $\mathcal{F}_{wr}(X) \simeq D^b Coh(\{xy = 0\})$ lacks symmetry in x, y, z .
- how to extend to higher genus? – gluing ?

Stabilization: $X \simeq \{x + y + 1 = 0\} \subset (\mathbb{C}^*)^2$.

$(\mathbb{X} = Bl((\mathbb{C}^*)^2 \times \mathbb{C}, X \times 0), W = p_C) \longleftrightarrow (\mathbb{X}^\vee = \mathbb{C}^3, W^\vee = -xyz)$.

Theorem (A-A-E-K-O)

$\mathcal{F}_{wr}(X) \simeq D_{sing}^b(\mathbb{X}^\vee, W^\vee) := D^b Coh(\{xyz = 0\}) / Perf$. (Orlov)

$(\mathbb{L}_0, \mathbb{L}_1, \mathbb{L}_2) \longleftrightarrow ([\mathcal{O}_{\{z=0\}}], [\mathcal{O}_{\{x=0\}}], [\mathcal{O}_{\{y=0\}}])$

This result extends to all Riemann surfaces (AAEKO, Seidel, Efimov, H. Lee).
Mirror $(\mathbb{X}^\vee, W^\vee)$, $\dim \mathbb{X}^\vee = 3$. (Hori-Vafa, A-A-K)

For an affine plane curve $\Sigma = \{f(x, y) = 0\} \subset (\mathbb{C}^*)^2$, mirror:

$\mathbb{X}^\vee =$ toric CY 3-fold determined by *tropicalization* of f ,

$W^\vee \in \mathcal{O}(\mathbb{X}^\vee)$, $Z := \{W^\vee = 0\} = \bigcup$ toric strata.

$\text{sing}(Z) = \text{crit}(W^\vee) = \bigcup$ 1-dim. strata = union of \mathbb{P}^1 and \mathbb{A}^1 .

Mirror decompositions: $\Sigma = \bigcup$  $\longleftrightarrow (\mathbb{X}^\vee, W^\vee) = \bigcup (\mathbb{C}^3, -xyz)$



Jeff Koons, *Balloon Dog* (photo Librado Romero - The New York Times)

For an affine plane curve $\Sigma = \{f(x, y) = 0\} \subset (\mathbb{C}^*)^2$, mirror:



$\mathbb{X}^\vee =$ toric CY 3-fold determined by *tropicalization* of f ,

$W^\vee \in \mathcal{O}(\mathbb{X}^\vee)$, $Z := \{W^\vee = 0\} = \bigcup$ toric strata.

$\text{sing}(Z) = \text{crit}(W^\vee) = \bigcup$ 1-dim. strata = union of \mathbb{P}^1 and \mathbb{A}^1 .

Mirror decompositions: $\Sigma = \bigcup$  $\longleftrightarrow (\mathbb{X}^\vee, W^\vee) = \bigcup (\mathbb{C}^3, -xyz)$

Theorem (Heather Lee)

$$\mathcal{F}_{wr}(\Sigma) \simeq \lim \left\{ \mathcal{F}_{wr} \left(\bigsqcup \text{ \right) \rightrightarrows \mathcal{F}_{wr} \left(\bigsqcup \text{ \right) \right\} \simeq D_{\text{sing}}^b(\mathbb{X}^\vee, W^\vee) \quad (=D^b(Z)/\text{Perf})$$

(Related work: Bocklandt, Gammage-Shende, Lekili-Polishchuk, ...)

Theorem (Abouzaid-A.)

The converse also holds! $\mathcal{F}(\mathbb{X}^\vee, W^\vee) \simeq D^b \text{Coh}(\Sigma)$

(A.-Efimov-Katzarkov in progress recasts the l.h.s. in terms of $\text{crit}(W^\vee) = \bigcup$ 1-d strata)

(see also C. Cannizzo's thesis for curves in abelian surfaces)

(Abouzaid-A. also holds for $X =$ hypersurface or c.i. in $(\mathbb{C}^*)^n$)